



Gila County Community Services Division

"A Community Action Agency"

5515 S. Apache Ave. Suite 200, Globe, AZ 85501

(928) 402- 8650

"Improving the Quality of Life for all Residents, one life at a time"

August 16, 2016

Alan Urban
Community Development Coordinator
Central Arizona Governments
1075 S. Idaho Rd. #300
Apache Junction, Az. 85219

RE: CDBG Regional Account FFY 2016

Dear Mr. Urban:

Enclosed is Gila County's application for FFY 2016 Community Development Block Grant Regional Account Fund application, including 1 copy.

Please contact me if there is any further information needed regarding this application.

Sincerely,

Malissa Buzan

Summary of Forms

Form 1. Application Cover Sheet	√
Form 2. General Administration, Summary Sheet	√
Form 3. Activity Budgets (excluding Administration)	√
For Forms 4 through 9, only ONE of the following forms should be submitted for EACH activity, unless otherwise noted.	
• Form 4. Public Works and Safety Facilities Equipment	n/a
• Form 5. Community and Supportive Housing Facilities/Barrier Removal	n/a
• Form 6. Public Services	n/a
• Form 7. Neighborhood Revitalization and Redevelopment	n/a
• Form 8. Housing	√
• Form 9. Economic Development/Job Creation or Retention (Form 13 or Form 14 may also be required.)	n/a
For Forms 10 through 16, Forms 12 and 16 (16HR if Housing Rehab) are mandatory, and remaining forms should be completed as applicable.	
• Form 10. Urgent Needs.	n/a
• Form 11. Colonias (submit for all activities to be funded from the Colonias set aside)	n/a
• Form 12. Demographic/Racial and Ethnicity Data National Objective (for each activity)	√
• Form 13. Areawide LM National Objective (for each activity as applicable)	n/a
• Form 14. Limited Clientele LM National Objective (for each activity as applicable)	n/a
• Form 15. Slum/Blight National Objective (for each activity as applicable)	n/a
• Form 16. CDBG Milestones for project planning	n/a
• Form 16-HR. CDBG Milestones for Housing Rehab project planning	√

Table of Contents - ALL pages in the application are to be numbered consecutively. If a page is inserted after the initial numbering has been done, it can be shown as "page 11.a", or "15.a.b.c. etc." to avoid renumbering pages. A section labeled Miscellaneous with no subheadings is not acceptable

The entire application should be two-hole punched at the top and secured (clasp, staple, etc.). Do not submit applications in binders.

Applicants are strongly encouraged to use this checklist and include it with the Application, as it can benefit Applicant by improving the quality of the Application and expediting processing.



FORM 1
FY 2016 COMMUNITY DEVELOPMENT BLOCK GRANT
APPLICATION COVER SHEET

<input checked="" type="checkbox"/>	A. Regional Account (RA) COG: CAG	<input type="checkbox"/>	B. State Special Project (SSP)
<input type="checkbox"/>	C. Colonias	<input type="checkbox"/>	D. NRS: Date approved: / / Approval on page:

1. Applicant and DUNS Number: Gila County 074462102	2. Legislative/ Congressional Districts: 1 / 5
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3. Address (with 9-digit zip code): 5515 S. Apache Avenue, Suite 200, Globe, Arizona 85501-4430
 Name of County Applicant Located In: Gila

4. Contact Person/Title (Grantee) Malissa Buzan/Director	5. Contact Person/Title (COG/Other): Malissa Buzan/Director
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Phone/Fax/Email: 928-425-7631/928-425-9468/mbuzan@co.gila.az.us	Phone/Fax/Email: 928-425-7631/928-425-9468/mbuzan@co.gila.az.us
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6. Complete the following information for the activities for which you are requesting funds in a single contract (maximum of 2 including Administration). Complete an additional Form 1 for each additional activity included in the application. Item d: Fund types are (1) Leverage, (2) Program Income, or (3) Other.

a. Activity Name	b. CDBG Funds	c. Non-CDBG Funds	d. Fund Type	e. Total Funds
1. Administration	23,660.00			23,660.00
2. OOHHR	108,582.00			108,582.00

Total CDBG Funds Requested for this Project (Activities #1 and #2): \$ **132,242.00**

8. List all other activities applied for this fiscal year. Indicate by which application includes the required general information (Certifications, Disclosure Report, etc.) and administration funds. Note that there will be a separate contract for each activity except Administration.

Activity Name	Amount (CDBG \$ only)	CDBG USE ONLY - Contract No.
<input type="checkbox"/> a.		
<input type="checkbox"/> b.		
<input type="checkbox"/> c.		
<input type="checkbox"/> d.		

9. Total CDBG Funds Requested (all activities applied for this fiscal year, including administration): \$

10. Certification: To the best of my knowledge and belief, data in this application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached Certifications if the assistance is approved.

Signature of the Chief Elected Official	Date: 08/15/2016
Name (typed): Michael A. Pastor	Title: Chairman, Gila County Board of Supervisors

FORM 1
FY ____ COMMUNITY DEVELOPMENT BLOCK GRANT
APPLICATION COVER SHEET

- A., B. and C. Indicate whether this application is to a Regional Account, SSP or to the Colonias Set Adise. If to a Regional Account, indicate which COG by name, i.e., NACOG, WACOG, CAAG or SEAGO.
- D. Indicate if the application includes a Neighborhood Revitalization Strategy (NRS). A NRS must be approved before the application is submitted and the application must include a copy of the approval letter from ADOH.

LINE ITEM INSTRUCTIONS:

1. Provide the name of the applicant.
2. Indicate the Congressional (federal) and Legislative (state) District(s) in which your community is located.
3. Provide the complete mailing address, including zip code + four, of the applicant community and the county it is located in.
- 4.-5. Enter the name, title, telephone number, fax number, and e-mail address for a Contact Person who is a local government employee or elected official.

COG staff may be named as a CDBG co-contact but a person employed by or an elected official of the local government must be named as the primary CDBG Contact Person.

CDBG prefers having one primary CDBG Contact Person with the Grantee and COG. However, we realize that some grantees wish to have additional persons named. These should be provided on an additional attached sheet and be officially designated as well.

- 6.a. List only ONE (1) activity other than Administration. Please include a descriptive activity name, e.g., type of public improvement and whether new or replacement with the type of activity following the descriptive name.
- 6.b. Show the amount of CDBG funds requested for each activity. Use whole dollars only. If Activity #1, Administration, will not be funded with CDBG funds or is included with another activity, enter -0- in the space; do NOT delete the column.
- 6.c. Show the total of all "Non-CDBG Funds" that will be necessary to complete the activity. "Non-CDBG Funds" include three categories of funds/resources:
 - (L) Leverage, which must meet the definition in the specific COG's MOD (for an RA application), or the definition in the handbook (for an SSP application), and must be tracked by the community.
 - (PI) Program Income from a prior CDBG grant.
 - (O) Other, reflects the minimum amount of other funds or the value of other resources (such as city crews or equipment) necessary to complete the activity as described in the application.
- 6.d. Indicate the type of funds, i.e., CDBG, Leverage, Program Income, or Other. Include a copy of either an adopted resolution or legally-binding commitment to support guarantee of other funds.
- 6.e. Total of (b) and (c) for each activity

7. **Show the total amount of CDBG funds from the two activities listed in Section 6.**
8. **Include the amount of CDBG funds requested for each activity and indicate which activity/contract will include Administration funds and other required information that is submitted with only one application/activity such as Certifications, Disclosure Report, Public Participation information, etc.**
9. **Show the total amount of CDBG funds for ALL applications submitted this fiscal year.**
10. **This form must have an original signature. Only the Chief Elected Official or other individual as authorized by the governing body of the applicant in the Resolution to Submit an Application for CDBG funds can provide this signature.**



COMMUNITY DEVELOPMENT BLOCK GRANT ACTIVITY DESCRIPTION: HOUSING ACTIVITIES

1. Applicant: Gila County

2. Activity Name: Owner-Occupied Housing Rehab

3. Map(s) attached as page(s) 10-14

Examples of eligible housing activities include: private housing rehabilitation, public housing rehabilitation and modernization, infrastructure in support of new housing, new construction by eligible sub-recipients, housing services, property acquisition or conversion.

4. Type of Housing Activity (check all which apply):

- a. Single family unit, owner-occupied residential rehabilitation (Housing Rehab Guidelines required)
- b. Residential rental rehabilitation, one or two units (one of which must be occupied by low and moderate income persons) (Guidelines required)
- c. Residential rental rehabilitation, more than two units (51% low and moderate income persons)
- d. New housing construction (only eligible if executed by a sub-recipient)
Proforma attached as page
- e. Acquisition or conversion of property for housing
Proforma attached as page
- f. Housing services
- g. Lead-based paint hazard evaluation and reduction
- h. Infrastructure related to a proposed housing project
Proforma attached as page
- i. Home Ownership Assistance (Home Ownership Assistance Guidelines required)
- j. NRS Area (If the activity will take place in an approved NRS area, persons do not need to be income qualified. However, the applicant must also complete Form 13 and attach to application as page .)
- k. Commercial Rehabilitation
- l. Other (describe):

5. WHAT ARE YOU GOING TO DO?

Describe the activity and what is intended to be accomplished. See instructions.

We propose to provide Owner-Occupied Housing Rehabilitation assistance to two homes. This activity will be conducted within Gila County boundaries except reservation land. OOHR will complete 2 or more projects at an average of \$37,356.00 (each participant will meet the low/mod income qualifications) in the form of a forgivable non-interest bearing deferred payment loan. The option of replacement may be performed as per our Housing Rehab Guidelines. Each participant will be selected on a first come, first served basis from Gila County's housing rehabilitation waiting list. All rehabilitation services will be done by in house staff and all construction services will be done by licensed and insured general contractors that meet the Gila County and State criteria.

6. For construction or acquisition or conversion of property, complete the following:

- a. Is the site properly zoned? Yes No If no, when will the zoning issue be resolved?
n/a
- b. Are all utilities presently available to the site? Yes No If no, which utilities must be brought to the site? n/a
Who has the responsibility for bringing utilities to the site? n/a
- c. Provide copy of deed of ownership as page n/a

7. WHY ARE YOU GOING TO DO IT?

Describe the problems and conditions or other factors that indicate a need for the activity.
Gila County has an area of 4,768 square miles, with a high percentage of homes built before 1939. Our housing stock is in poor condition, especially in the Southern part of Gila County, we have a high percentage of elderly and low-income population. Our goal is to preserve our housing stock by allowing the elderly and low-income population to remain in their homes. Our economic outlook has remained dismal, with above average unemployment rates in Gila County. On average, there are 100 applicants on our OOHHR waiting list. That is why we propose to rehabilitate two owner occupied single family residences.

8. Indicate:

a. Total Number of People to be Served: 6

d. Total Number of Units: 2

b. Total Low Moderate People: 6

e. Total Low Moderate Units: 2

c. LM Percentage: 100%

f. Source of Information as page:
www.zipmap.net/Arizona/Gila-County.htm, and Google maps

9. Will there be program income generated from the activity? Yes No

If yes, describe the program income source and estimated amount. If a DPL is required, this must be completed and RLF procedures developed and submitted for approval to CDBG.

10. Describe the income qualification process to be used. Include the name, title, and phone number of the persons responsible for the process and indicate the date the information was obtained.

Income will be verified during the Pre-Application Process, Estelle Belarde, Housing Assistant will review documentation of all household income during this time.

11. If applicable, it is assumed that the activity will use federal Housing Quality Standards (HQS) as the housing rehabilitation standard. If HQS or a more stringent state or local code will not be adhered to, describe the code or standard that will be used and provide a rationale for the proposed standard. This cannot be "NA." *Please specify the specific code that will be followed in your rehab program, which at a minimum must be HQS.*

Arizona State Weatherization Standards as well as Federal Housing Quality Standards will be used for all OCHR projects in this contract.

12. For housing acquisition, conversion, or new construction projects and programs, indicate the entities that will act as the owner, developer, and manager, including a name, title, address and phone number of a responsible official for each entity (if available).

n/a

13. a. For housing acquisition, construction, or conversion projects, attach documentation verifying a commitment to finance the project and make the dwellings available to low and moderate income households as page n/a.

b. Proforma attached as page

14. For all rental housing projects and programs:

a. attach a listing of the rents to be charged after rehabilitation (which must be affordable);

b. a definition of affordable;

c. a method whereby such were made public; and

d. if available, submit a copy of the draft agreement with the landlord that includes the process to be used to solicit tenants (see page)

15. For homeownership assistance, include the following:

- a. Indicate if potential homeowners will seek their own financing. If a particular financing entity has been identified, provide the name, address and contact person for that entity.
n/a
- b. Name, address, and phone number of the entity that will provide housing support services:

16. Ensure that any permanent relocation or displacement impacts of the project have been considered. This could potentially occur with the removal of low income housing stock from the market through demolition, acquisition, or conversion of dwellings. Contact the Council of Governments or CDBG Program staff for details.

Permanent Relocation/displacement anticipated? Yes (Describe plans or see page) No

17. If assistance to an eligible non-profit organization is proposed, supplemental information must be provided with your application. This information must include:

- a. Copy of articles of incorporation attached as page
- b. By-laws attached as page
- c. Tax exempt status attached as page
- d. Current board of directors attached as page
- b. Most recent audit and financial report attached as page
- c. Civil Rights Certification attached as page
- d. Financial Management Certification attached as page
- h. Statement from the Corporation Commission that the corporation has not been dissolved and is currently in good standing, attached as page



FORM 12
COMMUNITY DEVELOPMENT BLOCK GRANT
NATIONAL OBJECTIVE COMPLIANCE
DEMOGRAPHIC/RACIAL DATA

1. Applicant Name	Gila County	2. Project Name	OOHR
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This form should be used to capture demographic/racial data for CDBG-funded projects.

3. Demographic/Ethnicity Data

- a) Source of Racial/Demographic Data: Waiting List
- b) See page(s): 23-25

Demographic Category	Number/ # 4a)	Percentage/ % 4b)	Hispanic/Latino Ethnicity/# 5a)	Percentage/ % 5b)
Single Race Categories				
White	2	100	1	0
Black/African American	0	0	0	0
Asian	0	0	0	0
American Indian/Alaskan Native	0	0	0	0
Native Hawaiian/Other Pacific Islander	0	0	0	0
Multi-Race Categories:				
American Indian/Alaskan Native & White	0	00	0	0
Asian & White	0	0	0	0
Black/African American & White	0	0	0	0
American Indian/Alaskan Native & Black/African American	0	0	0	0
Other Multi-Racial	0	0	0	0
Non-Hispanic/Latino Ethnicity			1	100
TOTAL 6)	2	100%	0	0

Total Hispanic/Latino Ethnicity 7)			1	
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For reporting purposes, Hispanic is no longer classified as a race, but as an ethnic category. Thus, those collecting data on race must also ask the individual if he/she considers his/herself to be of Hispanic ethnicity. The Hispanic ethnicity has the potential to span across all races. Those who are White, Black, Asian, Pacific Islanders, American Indian, or Other Multi-Racial may also be counted as being Hispanic.



Arizona
Department
of Housing

FORM 16 - HR
CDBG - MILESTONES FOR PROJECT PLANNING
HOUSING REHABILITATION

1. Applicant Gila County

2. Activity OOHR

Indicate below the initiation and completion dates for activity milestones (i.e. major events that must be accomplished to initiate and implement the CDBG funded activity). Month one is the first month after the effective date of the contract. If a milestone has already been achieved on an item pre-approved by the CDBG program, please note it.

Milestones	Months	1	2	3	4	5	6	7	8	9	10	11	12
		Oct 16	Nov 16	Dec 16	Jan 17	Feb 17	Mar 17	Apr 17	May 17	Jun 17	Jul 17	Aug 17	Sep 17
General ERR							X						
Marketing											X		
Quarterly Progress Reports								3-15-17			7-15-17		
Request for Payment (at least quarterly)					3-15-17			3-15-17			7-15-17		
House(s) #1 & 2													
ERR (Appendix A)							3/15/17						
Initial Inspection & Work write-ups									5/15/17				
Procurement													
Construction & Final Inspection												8/15/17	
House(s) #													
ERR (Appendix A)													
Initial Inspection & Work write-ups													
Procurement													
Construction & Final Inspection													

ERR (Appendix A)													
Initial Inspection & Work write-ups													
Procurement													
Construction & Final Inspection													
House(s) #													
ERR (Appendix A)													
Initial Inspection & Work write-ups													
Procurement													
Construction & Final Inspection													
House(s) #													
ERR (Appendix A)													
Initial Inspection & Work write-ups													
Procurement													
Construction & Final Inspection													
CLOSEOUT													
Milestones ↓ Months →	25	26	27	28	29	30	31	32	33	34	35	36	
Marketing													
Quarterly Progress Reports													
Request for Payment (at least quarterly)													
House(s) #													



CERTIFICATIONS

APPLICANT CERTIFICATIONS FOR FFY 2016

The applicant hereby assures and certifies that:

1. It possesses legal authority to apply for Community Development Block Grant funds, and to execute the proposed program.
2. Prior to the submission of the application, the applicant's governing body has duly adopted or passed as an official act a resolution authorizing the submission of the application, including all understandings, assurances, statutes, regulations and orders contained therein, and directing and authorizing the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
3. Its chief executive officer or other officer of the applicant approved by the State:
 - a. Consents to assume the status of a responsible Federal official under the National Environmental Policy Act of 1969 (NEPA) and other provisions of Federal law, as specified at 24 CFR 58.1(a) (3) and (a)(4), which further the purposes of NEPA insofar as the provisions of such Federal law apply to this program.
 - b. Is authorized and consents on behalf of the applicant and him(her)self to accept the jurisdiction of the federal and State courts for the purpose of enforcement of his/her responsibilities as such an official.
4. It will comply with the provisions of Executive Order 11990, relating to evaluation of flood hazards and Executive Order 11288 relating to the prevention, control and abatement of water pollution.
5. It will, in connection with its performance of environmental assessments under the National Environmental Policy Act of 1969, comply with Section 106 of the National Historic Preservation Act of 1966 (16 U.S.C. 470), Executive Order 11593, and the Preservation of Archeological and Historical Data Act of 1966, P.L. 93-291 (16 U.S.C. 469a-1, et.seq.).
6. It will administer and enforce the labor standard requirements of the Davis Bacon Act, as amended at 40 U.S.C. 276a-276a-5, and the Contract Work Hours and Safety Standards Act at 40 U.S.C. 327-333.
7. It will comply with the provisions of 24 CFR Part 24 relating to the employment, engagement of services, awarding of contracts or funding of any contractors or subcontractors during any period of debarment, suspension or placement in ineligibility status.
8. It shall comply with the requirements of the 1992 Lead Based Paint Poisoning Prevention Act of 42 U.S.C. 4821-4846 (also Title X of the Housing and Community Development Act of 1992) and implementing regulations at 24 CFR Part 35.
9. It will comply with the provisions of 24 CFR part 58 "Uniform Grant Administrative Requirements" and OMB Circular A-87.
10. It will comply with the American Disabilities Act and Section 504 of the Rehabilitation Act, as amended.

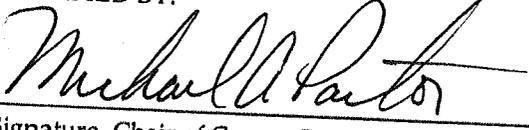
11. It will comply with
 - a. Title VI of the Civil Rights Act of 1964 (Pub. L. 88- 352), and the regulations issued pursuant thereto (24 CFR Part 1).
 - b. Title VIII of the Civil Rights Act of 1968 (Pub. L. 90- 284), as amended.
 - c. Section 109 of the Housing and Community Development Act of 1974.
 - d. Executive Order 11063 pertaining to equal opportunity in housing and nondiscrimination in the sale or rental of housing built with Federal assistance.
 - e. Executive Order 11246, and the regulations issued pursuant thereto (24 CFR Part 130 and 41 CFR Chapter 60).
 - f. Section 3 of the Housing and Urban Development Act of 1968, as amended.
 - g. Federal Fair Housing Act of 1988, P.L. 100-430.
 - h. The prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1973, 42. U.S.C. 6101-07, and the prohibitions against discrimination against persons with handicaps under Section 504 of the Rehabilitation Act of 1973, (P.L. 93-112), as amended, and the regulations at 24 CFR Part 8.
 - i. The requirements of the Architectural Barriers Act of 1966 at 42 U.S.C. 4151-415.
12. It will comply with the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations.
13. The Applicant certifies that there was no participation in any aspect or manner of the due diligence, compilation, preparation, or submission process relating to this Application, or the project that is the subject of this Application, by any person(s) or entity(ies) in violation of applicable State of Arizona (such as those found at A.R.S. §§ 38-501 - 38-511) or federal (such as those found at 24 CFR 92.365 relating to the administration of HOME funds or 24 CFR 570.611 relating to the administration of CDBG funds) conflict of interest laws . Should ADOH determine that such a conflict exists; the Application will be discontinued from consideration of the award at issue. Further, violations of any other applicable state or federal law will similarly result in disqualification of the Application from consideration of said award. Applicant further certifies It will comply with applicable conflict of interest provisions, incorporate such in all contracts and establish safeguards to prohibit employees from using positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties.
14. It will comply with the provisions of the Hatch Act that limits the political activity of employees.
15. It will give representatives of the State, the Secretary of HUD, the Inspector General, and the General Accounting Office access to all books, accounts, records, reports, files and other papers, things, or property belonging to it or in use by it pertaining to the administration of State CDBG assistance.
16. It will ensure that the facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the program are not listed on the Environmental Protection Agency's (EPA) list of violating facilities and that it will notify the State of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating that a facility to be used in the project is under consideration for listing by the EPA.
17. It will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973, Pub.L. 93-234, 87 Stat., 975, approved December 31, 1973. Section 103 (a) required, on and after March 2, 1974.
18. It has AND WILL COMPLY WITH THE PROVISIONS OF THE STATE OF ARIZONA CITIZEN AND PUBLIC PARTICIPATION PLAN FOR THE STATE OF ARIZONA CDBG PROGRAM.

19. It has developed plans to minimize displacement of persons as a result of activities assisted in whole or in part with CDBG funds and to assist persons actually displaced as a result of such activities, and has provided information about such plans to the public.
20. It will not recover any capital costs of public improvements assisted in whole or in part with CDBG funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements **unless**:
 - a. the CDBG funds are used to pay the proportion of the fee or assessment that is financed from other revenue sources, or;
 - b. it will certify to the State in writing that it lacks sufficient CDBG funds to comply with (a) but that it will not assess properties owned by very low-income persons.
21. It will provide all other funds/resources identified in the application, or any additional funds/resources necessary to complete the project as described in the application as submitted, or as may be later amended.
22. It will comply with the requirements of the Single Audit Act of 1996 and OMB Circular A-133; and if the grant is closed out prior to all funds having been audited, it shall refund to ADOH any costs disallowed as a result of any audit conducted after the date of grant closeout.
23. It hereby adopts and will enforce a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in nonviolent civil rights demonstrations; and will enforce applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstrations within its jurisdiction.
24. It will ensure that, to the best of the knowledge and belief of the undersigned:
 - a. no Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in the connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
 - b. if any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
 - c. the undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

"This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure."

25. It shall comply with the provisions of Section 102 of the HUD Reform Act of 1989.
26. It shall ensure that efforts are made to recruit minority, disabled and woman owned businesses for its vendor/supplier lists.

CERTIFIED BY:



Signature Chair of County Board

8/15/2016

Date

Michael A. Pastor, Chairman, Gila County Board of Supervisors
Typed Name of Mayor or Chair of County Board

NOTE: The Attorney General has ruled that these Certifications must have an original signature when submitted to the CDBG Program. If an applicant submits more than one application, the Certifications should be included in the application that includes administration funds and other general items such as public participation, resolutions, etc.



Applicant: Gila County

CDBG Contract No.(if known): _____ RA for FFY 2016 SSP for FFY 2016/2017

**CDBG DISCLOSURE REPORT
FEDERAL FISCAL YEAR
10/1/2013- 9/30/2014**

This form must be completed and submitted with each application for CDBG funds.

PART I - APPLICANT INFORMATION

1. Applicant, Complete Address with 9-digit zip code, Phone Number:
Gila County Community Services Division
5515 S. Apache Avenue, Suite 200
Globe, Arizona 85501-4430 Telephone: 928-425-7631
2. Federal Employer Identification Number: 86-6000444
3. Indicate whether this is: Initial Report Update Report # _____
4. Amount of this CDBG Grant Applied for: \$132,242.00

PART II - THRESHOLD DETERMINATION

1. Is the amount listed in 4(above) more than \$500,000? Yes No
2. Have you received, can reasonably expect to receive, or applied for other HUD assistance (through programs listed in Appendix A of the Instructions) during the current federal fiscal year, which when added to 4. (above) amounts to more than \$500,000? Yes No

PART III - OTHER GOVERNMENT ASSISTANCE PROVIDED/APPLIED FOR

Provide the requested information for any other Federal, State and/or local governmental assistance *either awarded or applied for, which will be used in conjunction with this CDBG grant.*

Name and Address of Agency Providing or Applied to for Assistance	Program	Type of Assistance	Amount Requested or Awarded
			\$
			\$
Az Deptment of Housing	DOE, LIHEAP	Weatheriza tion	\$121,729.00
	SWG	Weatheriza tion	\$27,150.00
Phoenix, AZ 85004			
			\$
			\$
			\$

PART IV - INTERESTED PARTIES

Identify any person or entity that has a pecuniary interest in this project that exceeds \$50,000 or 10% of the CDBG assistance (whichever is lower). All consultants, developers or contractors involved in the CDBG application or in the planning, development or implementation of the project must be identified as an interested party unless procured through a competitive process.

List of all Persons with a Reportable Financial Interest in the Project	Social Security No. or Employer ID No.	Type of Participation in the Project	Financial Interest in the Project (\$ and %)
			\$ / %
			\$ / %
			\$ / %
			\$ / %
			\$ / %
			\$ / %
			\$ / %
			\$ / %
			\$ / %
			\$ / %

PART V - EXPECTED SOURCES AND USES OF FUNDS

DISCLOSURE REPORT INSTRUCTIONS

All communities receiving CDBG grants must complete and submit the Disclosure Report either with the application or after receipt of the CDBG award letter. *Note that no contract will be issued until the CDBG Program receives a completed Disclosure Report.*

PART I - GRANTEE INFORMATION

Complete information requested.

Updated reports are required if:

- Information was omitted from the initial report;
- Additional interested parties are identified (unless such are identified through other documents such as those relating to the procurement process);
- A person or entity's pecuniary interest has increased;
- Government assistance has increased by \$250,000 or 10% (whichever is lower);
- There is a change in the source and/or use of funds that exceeds the amount of all previously disclosed sources and/or uses of funds by \$250,000 or 10% (whichever is lower).

PART II - THRESHOLD DETERMINATION

Complete information requested.

PART III - OTHER GOVERNMENT ASSISTANCE PROVIDED/APPLIED FOR

Complete information requested.

PART IV - INTERESTED PARTIES

Interested parties are those persons and entities with a reportable pecuniary interest in the project. A *pecuniary interest means any financial involvement* in the project, including such situations in which a person or entity:

- Has an equity interest in the project,
- Shares in any profit or resale;
- Shares in any distribution of cash surplus or other assets of the project;
- Receives compensation for any goods or services provided in connection with the project. (Exception: if compensated as a result of a competitive procurement process.)

(The following are not considered interested parties: local CDBG administrative staff, recipients of housing rehab assistance, and rehab contractors as long as the rehab agreement is between the property owner and the contractor.)

If an entity is disclosed, the information provided in Part IV must include the identification of each officer, director, principal stockholder or other official of the entity.

Applicants/grantees may not be aware of all interested parties when completing this report. If, as the project is implemented, the grantee becomes aware of other interested parties, it should submit an updated Disclosure Report.

PART V - EXPECTED SOURCES AND USES OF FUNDS

Note that this section must include all other assistance identified in Part III as well as the CDBG funds.

Describe the "Uses of Funds" in general terms - do not provide line item budget information.

PART VI - CERTIFICATION

Have Chief Elected Official sign and date form.

Original must be included with application.

APPENDIX A

The following HUD programs are considered "covered assistance" for purposes of the Disclosure Report. All applicants for CDBG funds must review this list to determine if they are receiving or can reasonably expect to receive assistance from any of these covered sources in determining whether they reach the threshold (Part II). Applicants must consider: a) ALL CDBG funds for which they will apply, both RA and all SSPs; b) ALL other "covered assistance" whether received directly from HUD or through the State, e.g., ADOH or DES.

NOTE: This list does NOT include the HOME program.

1. Section 312 Rehab Loans under 24 CFR Part 510 except loans for single-family properties
2. Rental Rehabilitation Grant Programs
3. Specific projects or activities under Title I of the Housing and Community Development Act of 1974 to:
 - a) HUD for a Special Purpose Grant
 - b) HUD for a loan under 24 CFR Part 470, Subpart M
 - c) HUD for a grant to an Indian tribe under Title I
 - d) HUD for a grant under the HUD administered Small Cities program; and
 - e) a state or unit of general local government for CDBG
4. Emergency Shelter Grants (specific project or activity), under 24 CFR part 576
5. Transitional Housing under 24 CFR part 577
6. Permanent Housing for Handicapped Homeless Persons under 24 CFR part 578
7. Section 8 Housing Assistance Payments (only project-based housing under the Existing Housing and Moderate Rehab Programs under 224 CFR part 88 but including the Moderate Rehabilitation Program for Single Room Occupancy Dwellings for the Homeless under Subpart H)
8. Section 9 Housing Assistance Payments for Housing for the elderly or handicapped under 24 CFR part 855
9. Loans for Housing for the Elderly or Handicapped including operating assistance for Housing for the Handicapped under Section 162 of the Housing and Community Development Act of 1987 and Seed Money Loans under Section 106(b) of the Housing and Urban Development Act of 1968
10. Section 8 Housing Assistance Payments, Special Allocations under 24 CFR part 886
11. Flexible Subsidy under 25 CFR part 219, both Operating Assistance under Subpart B and Capital Improvement Loans under Subpart C
12. Low Rent Housing Opportunities under 24 CFR part 904
13. Indian Housing under 24 CFR part 905
14. Public Housing Development under 24 CFR art 942
15. Comprehensive Improvement Assistance under 24 CFR part 968
16. Resident Management under 24 CFR part 964, Subpart C
17. Neighborhood Development Demonstration under Section 123 of the Housing and Urban Rural Recovery Act of 1983

18. Nehemiah Grants under 24 CFR part 280
19. Research and Technology Grants under Title V of the Housing and Urban Development Act of 1970
20. Congregate Services under the Congregate Housing Services Act of 1978
21. Counseling Under Section 106 of the Housing and Urban Development Act of 1968
22. Fair Housing Initiates under 24 CFR part 125
23. Public Housing Drug Elimination Grants under Section 5129 of the Anti Drug Abuse Act of 1988
24. Fair Housing Assistance under 24 CFR part 111
25. Public Housing Early Childhood Development Grants under Section 222 of the Housing and urban Rural Recovery Act of 1983
26. Mortgage Insurance under 24 CFR Subtitle B, chapter II (only multifamily and non residential)
27. Supplemental Assistance for Facilities to Assist the Homeless under 24 CFR part 57928. Shelter Plus Care Assistance under Section 837 of the Cranston Gonzales National Affordable Housing Act
29. Planning and Implementation Grants for HOPE for Public and Indian Housing Homeownership under Title IV, Subtitle A of the Cranston-Gonzales National Affordable Housing Act
30. Planning and Implementation Grants for HOPE for Homeownership of Multifamily Units under Title IV, Subtitle B of the Cranston-Gonzales National Affordable Housing Act
31. HOPE for Elderly Independence Demonstration under section 803 of the Cranston-Gonzales National Affordable Housing Act.