Arizona Department of Housing

Applicant: Gila County

CDBG Contract No.(if known):_____ ☒ RA for FFY 2015 ☐ SSP for FFY _____

CDBG DISCLOSURE REPORT
FEDERAL FISCAL YEAR
10/1/2013- 9/30/2014

This form must be completed and submitted with each application for CDBG funds.

PART I - APPLICANT INFORMATION

1. Applicant, Complete Address with 9-digit zip code, Phone Number:

Gila County Community Services Division

5515 S. Apache Avenue, Suite 200

Globe, Arizona 85501-4430 Telephone: 928-425-7631

2. Federal Employer Identification Number: 86-60000444

3. Indicate whether this is: ☒ Initial Report ☐ Update Report #_____

4. Amount of this CDBG Grant Applied for: $113,169.00

PART II - THRESHOLD DETERMINATION

1. Is the amount listed in 4(above) more than $500,000? ☐ Yes ☒ No

2. Have you received, can reasonably expect to receive, or applied for other HUD assistance (through programs listed in Appendix A of the Instructions) during the current federal fiscal year, which when added to 4. (above) amounts to more than $500,000? ☒ Yes ☐ No

PART III - OTHER GOVERNMENT ASSISTANCE PROVIDED/APPLIED FOR

Provide the requested information for any other Federal, State and/or local governmental assistance ***either awarded or applied for, which will be used in conjunction with this CDBG grant.***

Name and Address of Agency Providing or Applied to for Assistance	Program	Type of Assistance	Amount Requested or Awarded
Arizona Dep't. of Housing	HOME	OOHR	275,000.00
1110 W. Washington St. Suite #310	CDBG SSP	OOHR	330,000.00
Phoenix, AZ 85007			$
			$
AZCAA	DOE, LIHEAP	Weatherization	$99,692.00
2700 North 3rd Street, Suite 3040	SWG/APS	Weatherization	$7,150.00
Phoenix, AZ 85004	URRD		58,501.00
	APS WAP	Weatherization	$ 106,429.00
			$
			$

PART IV - INTERESTED PARTIES

Identify any person or entity that has a pecuniary interest in this project that exceeds $50,000 or 10% of the CDBG assistance (whichever is lower). All consultants, developers or contractors involved in the CDBG application or in the planning, development or implementation of the project must be identified as an interested party unless procured through a competitive process.

List of all Persons with a Reportable Financial Interest in the Project	Social Security No. or Employer ID No.	Type of Participation in the Project	Financial Interest in the Project ($ and %)
			$ / %
			$ / %
			$ / %
			$ / %
			$ / %
			$ / %
			$ / %
			$ / %
			$ / %
			$ / %

PART V - EXPECTED SOURCES AND USES OF FUNDS

Identify the ***source and use of all assistance*** (include this CDBG grant and all other governmental and non-governmental sources) that has been or may be used in this contract.

Activity No. and Source	Use
HOME Partnership Program Fund	Owner Occupied Housing Rehabilitation
URRD	Minor Repair
DOE/SWG/APS/LIHEAP WAP	Weatherization
CSBG SSP	Owner Occupied Housing Rehabilitation
CDBG Regional Account	Owner Occupied Housing Rehabilitation

PART VI - CERTIFICATION

I hereby certify that the information provided in this disclosure is true and correct and I am aware that any false information or lack of information knowingly made or omitted may subject me to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, I am aware that if I knowingly and materially violate any required disclosure of information, including intentional non-disclosure, I am subject to a civil money penalty not to exceed $10,000 for each violation.

9-1-2015

Michael A. Pastor, Chairman

DISCLOSURE REPORT INSTRUCTIONS

All communities receiving CDBG grants must complete and submit the Disclosure Report either with the application or after receipt of the CDBG award letter. ***Note that no contract will be issued until the CDBG Program receives a completed Disclosure Report.***

PART I - GRANTEE INFORMATION

Complete information requested.
Updated reports are required if:

- Information was omitted from the initial report;
- Additional interested parties are identified (unless such are identified through other documents such as those relating to the procurement process);
- A person or entity's pecuniary interest has increased;
- Government assistance has increased by $250,000 or 10% (whichever is lower);
- There is a change in the source and/or use of funds that exceeds the amount of all previously disclosed sources and/or uses of funds by $250,000 or 10% (whichever is lower).

PART II - THRESHOLD DETERMINATION

Complete information requested.

PART III - OTHER GOVERNMENT ASSISTANCE PROVIDED/APPLIED FOR

Complete information requested.

PART IV - INTERESTED PARTIES

Interested parties are those persons and entities with a reportable pecuniary interest in the project. A *pecuniary interest means **any** financial involvement* in the project, including such situations in which a person or entity:

- Has an equity interest in the project,
- Shares in any profit or resale;
- Shares in any distribution of cash surplus or other assets of the project;
- Receives compensation for any goods or services provided in connection with the project. (Exception: if compensated as a result of a competitive procurement process.)

(The following are not considered interested parties: local CDBG administrative staff, recipients of housing rehab assistance, and rehab contractors as long as the rehab agreement is between the property owner and the contractor.)

If an entity is disclosed, the information provided in Part IV must include the identification of each officer, director, principal stockholder or other official of the entity.

Applicants/grantees may not be aware of all interested parties when completing this report. If, as the project is implemented, the grantee becomes aware of other interested parties, it should submit an updated Disclosure Report.

PART V - EXPECTED SOURCES AND USES OF FUNDS

Note that this section must include all other assistance identified in Part III as well as the CDBG funds.

Describe the "Uses of Funds" in general terms - do not provide line item budget information.

PART VI - CERTIFICATION

Have Chief Elected Official sign and date form.

Original must be included with application.

APPENDIX A

The following HUD programs are considered "covered assistance" for purposes of the Disclosure Report. All applicants for CDBG funds must review this list to determine if they are receiving or can reasonably expect to receive assistance from any of these covered sources in determining whether they reach the threshold (Part II). Applicants must consider: a) ALL CDBG funds for which they will apply, both RA and all SSPs; b) ALL other "covered assistance" whether received directly from HUD or through the State, e.g., ADOH or DES.

NOTE: This list does NOT include the HOME program.

1. Section 312 Rehab Loans under 24 CFR Part 510 except loans for single-family properties
2. Rental Rehabilitation Grant Programs
3. Specific projects or activities under Title I of the Housing and Community Development Act of 1974 to:

   a) HUD for a Special Purpose Grant
   b) HUD for a loan under 24 CFR Part 470, Subpart M
   c) HUD for a grant to an Indian tribe under Title I
   d) HUD for a grant under the HUD administered Small Cities program; and
   e) a state or unit of general local government for CDBG
4. Emergency Shelter Grants (specific project or activity), under 24 CFR part 576
5. Transitional Housing under 24 CFR part 577
6. Permanent Housing for Handicapped Homeless Persons under 24 CFR part 578
7. Section 8 Housing Assistance Payments (only project-based housing under the Existing Housing and Moderate Rehab Programs under 224 CFR part 88 but including the Moderate Rehabilitation Program for Single Room Occupancy Dwellings for the Homeless under Subpart H)
8. Section 9 Housing Assistance Payments for Housing for the elderly or handicapped under 24 CFR part 855
9. Loans for Housing for the Elderly or Handicapped including operating assistance for Housing for the Handicapped under Section 162 of the Housing and Community Development Act of 1987 and Seed Money Loans under Section 106(b) of the Housing and Urban Development Act of 1968
10. Section 8 Housing Assistance Payments, Special Allocations under 24 CFR part 886
11. Flexible Subsidy under 25 CFR part 219, both Operating Assistance under Subpart B and Capital Improvement Loans under Subpart C
12. Low Rent Housing Opportunities under 24 CFR part 904
13. Indian Housing under 24 CFR part 905
14. Public Housing Development under 24 CFR art 942
15. Comprehensive Improvement Assistance under 24 CFR part 968
16. Resident Management under 24 CFR part 964, Subpart C
17. Neighborhood Development Demonstration under Section 123 of the Housing and Urban Rural Recovery Act of 1983

18. Nehemiah Grants under 24 CFR part 280

19. Research and Technology Grants under Title V of the Housing and Urban Development Act of 1970

20. Congregate Services under the Congregate Housing Services Act of 1978

21. Counseling Under Section 106 of the Housing and Urban Development Act of 1968

22. Fair Housing Initiates under 24 CFR part 125

23. Public Housing Drug Elimination Grants under Section 5129 of the Anti Drug Abuse Act of 1988

24. Fair Housing Assistance under 24 CFR part 111

25. Public Housing Early Childhood Development Grants under Section 222 of the Housing and urban Rural Recovery Act of 1983

26. Mortgage Insurance under 24 CFR Subtitle B, chapter II (only multifamily and non residential)

27. Supplemental Assistance for Facilities to Assist the Homeless under 24 CFR part 57928. Shelter Plus Care Assistance under Section 837 of the Cranston Gonzales National Affordable Housing Act

29. Planning and Implementation Grants for HOPE for Public and Indian Housing Homeownership under Title IV, Subtitle A of the Cranston-Gonzales National Affordable Housing Act

30. Planning and Implementation Grants for HOPE for Homeownership of Multifamily Units under Title IV, Subtitle B of the Cranston-Gonzales National Affordable Housing Act

31. HOPE for Elderly Independence Demonstration under section 803 of the Cranston-Gonzales National Affordable Housing Act.