



# DISCLOSURE OF OWNERSHIP/CONTROL AND CRIMINAL OFFENSES STATEMENTS

## Item I. Identifying Information

- (a) Name of Individual, Facility or Organization: Gila County
- (b) DBA Name: Gila Employment and Special Training
- (c) Federal Tax Identification Number (TIN) or Social Security Number (SSN): 86-6000444
- (d) Check the entity type that best describes the structure of the enrolling provider entity. Check only one box.
- For-Profit Corporation    
  Non-Profit Corporation    
  Partnership    
  Government Owned    
  Sole Proprietorship
- (e) Is this entity chain affiliated?    
 No    
 Yes

As required by 42 CFR Part 455, Subpart B which implements Section 1124, 1126, 1902(a)(38), 1903(I)(2) and 1903(n) of the Social Security Act and sets forth State Plan requirements regarding *Full Disclosure of Ownership and Control and Related Party Transactions*, the following information must be submitted to AHCCCS prior to registration and upon each renewal of certification or licensure in order to participate as an AHCCCS provider.

AHCCCS may refuse to enter into or renew an agreement with a provider if the provider fails to disclose ownership and control interest information, information related to business transactions and information on persons convicted of crimes, or if the provider did not fully and accurately make the disclosures as required.

## Item II. Ownership and Control Interest Information *(Reference-42CFR, Part 455.104 and SSA 1124)*

- (a) List the name, title, address, and SSN for each officer and/or individual who has direct or indirect ownership or control interest, separately or in combination, amounting to an ownership interest of 5% or more of the provider entity. List the name, TIN, and address of any organization, corporation, or entity having direct or indirect ownership or control interest, separately or in combination, amounting to an ownership interest of 5% or more in the provider entity. Attach additional pages as necessary to list all officers, owners, management and ownership entities.

Name	Title	Address	SSN/TIN	Percentage

- (b) List those persons named in Item II (a) that are related to each other (spouse, parent, child, or sibling).

Name	Relationship	SSN

- (c) List the name, title, address and SSN of each person with an ownership or control interest in any subcontractor in which the disclosing entity has direct or indirect ownership of 5 percent or more.

Name	Title	Address	SSN	Percentage

- (d) List the name, address and TIN of *any other disclosing* entity in which a person with an ownership or control interest in the disclosing entity also has an ownership or control interest of at least 5% or more.

Name	Address	TIN	Percentage



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<b>Item III. Criminal Offenses</b> <i>(Reference – 42CFR, Part 455.106 and SSA 1124)</i>				
(a) List the name, title, SSN and address of each officer and/or individual <i>who has ownership or control interest in the disclosing entity, or is an agent or managing employee of the disclosing entity</i> and has been convicted of a criminal offense related to that person's involvement in any program under Medicare, Medicaid or the Title XXI services program since the inception of those programs.				
Name	Title	Address	SSN (or TIN if organization)	
(b) List the name, title, SSN and address of any individual who has an ownership or control interest in the disclosing entity and has been suspended or debarred from participation in Medicare, Medicaid or Title XXI program since the inception of those programs.				
Name	Title	Address	SSN	
<b>Item IV. Board of Directors</b>				
List the name, title, and address of each member of the Board of Directors of the disclosing entity.				
Name	Title	Address		
I affirm under penalty of law that the information I have provided for this form is true, accurate and complete to the best of my knowledge.				
Michael A. Pastor		Chairman, Gila County Board of Supervisors		
_____ Print Name of Authorized Representative		_____ Title		
_____ Signature of Authorized Representative		_____ Date		

## Instructions for Disclosure of Ownership/Control and Criminal Offenses Statements

- II. (a) Direct ownership/control interest is defined as the possession of stock, equity in capital or any interest in the profits of the disclosing entity.

Indirect ownership is defined as ownership interest in an entity that has direct or indirect ownership interest in the disclosing entity. The amount of indirect ownership in the disclosing entity that is held by any other entity is determined by multiplying the percentage of ownership interest at each level. An indirect ownership interest must be reported if it equates to an ownership interest of 5 percent or more in the disclosing entity. Example: If A owns 10 percent of the stock in a corporation that owns 80 percent of the stock of the disclosing entity. A's interest equates to an 8 percent indirect ownership and must be reported.

Controlling interest is defined as the operational direction or management of disclosing entity which may be maintained by any or all of the following devices: the ability or authority, expressed or reserved, to amend or change the corporate identity (i.e., joint venture agreement, unincorporated business status) of the disclosing entity; the ability or authority to nominate or name members of the Board of Directors or Trustees of the disclosing entity; the ability or authority, expressed or reserved, to amend or change the bylaws, constitution, or other operating or management direction of the disclosing entity; the right to control any or all of the assets or other property of the disclosing entity upon the sale or dissolution of that entity; the ability or authority, expressed or reserved, to control the sale of any or all of the assets, to encumber such assets by way of mortgage or other indebtedness, to dissolve the entity or to arrange for the sale or transfer of the disclosing entity to new ownership or control.

- II. (c) Subcontractor

Subcontractor means an individual, agency or organization to which a disclosing entity has contracted or delegated some of its management functions or responsibilities of providing medical care to its patients.

- III (a) Criminal Offenses

Agent means a person who has been delegated the authority to obligate or act in behalf of the entity.

Managing employee means a general manager, business manager, administrator, director or other individual who exercises operational or management control over, or who directly or indirectly conducts the day to day operation of the disclosing entity.